

07/29/03
16367 U.S. PTO

Attorney's docket 22560

Box Patent Application
Commissioner of Patents and Trademarks
Washington, DC 20231

**CERTIFICATE OF EXPRESS OR
FIRST CLASS MAILING**

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Signature
The Firm of Karl F. Ross, P.C.

NEW APPLICATION TRANSMITTAL

PATENT

Transmitted herewith for filing is the patent application of inventors:

	First Name	Last Name	Residence	Citizenship
1.	Bruno	HEIMANN	Fröndenberg, Germany	Germany
2.	Christian	FRANKHOLZ	Fröndenberg, Germany	Germany
3.	Matthias	SCHLÜTER	Dortmund, Germany	Germany

For (title):

HANDLE ASSEMBLY FOR FAUCET

1. Type of Application

- ☒ Utility
- ☐ Design
- ☐ Plant

- ☒ Original
- ☐ Divisional
- ☐ Continuation
- ☐ Continuation-in-part

2. Benefit of Prior US Application(s) (35 USC 120) or foreign priority (35 USC 119)

- ☐ The new application being transmitted claims the benefit of a prior US application.
- ☒ Foreign priority claimed (see ¶9)

3. Papers Enclosed Required For Filing Date Under 37 CFR 1.53(b) (Uti.) or 37 CFR 1.153 (Des.)

- ☒ Pages of specification (10)
- ☒ Pages of claims (4)
- ☒ Pages of Abstract (1)
- ☒ Sheets of Drawing (3)
 - ☒ Formal
 - ☐ Informal

4. Additional papers enclosed

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☒ PTO-1449
- ☒ Citations (1)
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing," computer-readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino-acid sequence
- ☐ Authorization of Attorney(s) to accept and follow instructions from Representative
- ☒ Other -- PTO Data Entry Form
- ☒ Other --
- ☐ Other

5. Declaration or Oath

- ☒ Enclosed
original executed by
 - ☒ Inventor(s)
 - ☐ legal representative of inventor(s). 37 CFR 1.42 or 1.43
 - ☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or could not be reached
 - ☐ this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached
- ☐ Not Enclosed
 - ☐ Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all the above-named inventor(s).
 - ☐ Showing that the filing is authorized.

6. Inventorship Statement

The inventorship for all the claims in this application is:

- ☒ The same
- ☐ Not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made
 - ☐ is submitted.
 - ☐ will be submitted.

7. Language

- ☒ English
- ☐ non-English
- ☐ the attached translation is a verified translation. 37 CFR 1.52(d).

8. Assignment

- ☒ An assignment of the invention to Friedrich Grohe AG & Co. KG
 - ☒ is attached with form PTO-1595 and a separate check for \$40.00.
 - ☐ will follow.

9. Certified Copy

Certified copy of application

Country

Germany

Number

10236572.5

Date

8 August 2002

from which priority is claimed

☒ is(are) attached.☐ will follow.**10. Fee calculation**☒ **A. Utility application****CLAIMS AS FILED**

	No. Filed	Base No.	No. Extra	Rate	Basic fee
Total claims	10	20	0	\$18.00	\$750.00
Independent claims	1	3	0	\$84.00	\$0.00
Mult. dep. claims	No	n/a	n/a	\$280.00	\$0.00

- ☐ Amendment canceling extra claims enclosed.
☐ Amendment canceling multiply dependent claims enclosed.
☐ Fee for extra claims is not being paid at this time.

Filing Fee Calculation

\$750.00

- ☐ **B. Design application**
 (\$.00—37 CFR 1.16(f))
 Filing fee calculation

\$00.00

- ☐ **C. Plant application**
 (\$490.00—37 CFR 1.16(g))
 Filing fee calculation

\$00.00**11. Entity Status**Applicant herewith qualifies as a **LARGE** entity.**12. Request for International-Type Search (37 CFR 1.104(d))**

- ☐ Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

13. Fee Payment

- ☐ Not enclosed.
 ☐ No filing fee is to be paid at this time.
- ☒ Enclosed.
- | | |
|---|-----------------|
| <input checked="" type="checkbox"/> basic filing fee | \$750.00 |
| <input type="checkbox"/> petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or could not be reached | \$0.00 |
| <input type="checkbox"/> for processing an application with a specification in a non-English language | \$0.00 |
| <input type="checkbox"/> processing and retention fee | \$0.00 |
| <input type="checkbox"/> fee for international-type search report | \$0.00 |
| Total fees enclosed | \$750.00 |

14. Method of Payment of Fees

- ☐ Check in the amount of \$750.00
- ☐ Charge Account 18-2025 for \$750.00. A duplicate of this form is attached.
- ☒ Charge to credit card (PTO-2038 attached.)

15. Authorization to Charge Additional Fees

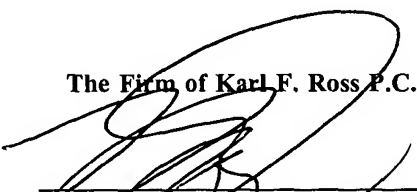
- ☒ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to account 18-2025.
- ☒ 37 CFR 1.16(a), (f), or (g) (filing fees)
- ☒ 37 CFR 1.16(b), (c), or (d) (extra claims)
- ☐ 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- ☒ 37 CFR 1.17 (application processing fees)
- ☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance pursuant to 37 CFR 1.31(b))

16. Instructions As To Overpayment

- ☐ credit account 18-2025
- ☒ refund

28 July 2003

The Firm of Karl F. Ross P.C.


 Herbert Dubno, reg. no. 19,752

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